

Financial Needs Assessment

Financial Goals

1. Investments

I do not need a high level of current income from my investments. I'm more interested in the potential for long-term growth

Strongly Agree

Agree

Disagree

Strongly Disagree

2. Large Expenses

I have set aside savings to cover large expenses like purchasing a home, college tuition, or a financial emergency.

Strongly Agree

Agree

Disagree

Strongly Disagree

3. Inflation

I am concerned about the effects of inflation on my investments.

Strongly Agree

Agree

Disagree

Strongly Disagree

Risk Tolerance

4. Volatility

I can tolerate sharp ups and downs in the short-term value of my investments in return for potential long-term gains.

Strongly Agree Agree Disagree Strongly Disagree

5. Risk vs. Reward

Hypothetically, I prefer an investment that has a 50% chance of losing 5% and a 50% chance of gaining 20% in one year, rather than an investment that will assure a 5% return in one year.

Strongly Agree Agree Disagree Strongly Disagree

6. Decline in Value

I am comfortable holding on to an investment even though it drops sharply in value.

Strongly Agree Agree Disagree Strongly Disagree

7. Equity Investing

I am willing to take the risks associated with stocks in order to earn a potential return greater than the rate of inflation.

Strongly Agree Agree Disagree Strongly Disagree

8. Knowledge of Risk

I consider myself knowledgeable about the risks and potential returns associated with investing in stocks and other types of securities.

Strongly Agree

Agree

Disagree

Strongly Disagree

Time Horizon

9. Personal Timeline

In how many years do you plan to utilize the results of your investment strategy?

More than 15 years

More than 10 years

More than 5 years

Less than 5 years

10. Long-term investing

I am comfortable with an investment that may take ten years to provide the returns I expect.

Strongly Agree

Agree

Disagree

Strongly Disagree